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PTO/SB/05 (12/97)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. OR209

Total Pages 35

First Named Inventor or Application Identifier

ELKINS

Express Mail Label No. EI107215746US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form Duplicate submitted  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ 2 pages including cover sheet and duplicate cover sheet  
Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS/PTO-1449) 4 Citations  
3 pages including IDS and Citation
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: 3 pages for:  
Certificate of Express Mailing  
Check for \$476  
Petition to Make Special 1.102(c)

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here):

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**THE UNIVERSITY OF CHICAGO**

Title: COMPLIANT HEAT EXCHANGE PANEL

|   | Number<br>filed | Number<br>std | Number<br>extra | Rate  | Fee           |
|---|-----------------|---------------|-----------------|-------|---------------|
| Basic filing fee  |                 |               |                 |       | \$790         |
| Total claims  | 19              | 20            | 0               | \$22  | \$0           |
| Independent claims                                      | 4               | 3             | 1               | \$82  | \$82          |
| Multiple dependent claims present                       | 0               | 0             | 0               | \$270 | \$0           |
| Intermediate total                                      |                 |               |                 |       | <u>\$ 872</u> |
| Small Entity intermediate total                         |                 |               |                 |       | \$436         |
| Fee for recordation of assignment of patent application |                 |               |                 |       | \$40          |
| Total   |                 |               |                 |       | <u>\$476</u>  |

**Please address all future correspondence to:**

Date: 07/31/98  
Docket No. OR209

David R. Gildea  
David R. Gildea  
Reg. No. 38,465

Applicant: William Elkins  
Title: COMPLIANT HEAT EXCHANGE PANEL  
U.S. Serial No. \_\_\_\_\_  
Date Filed: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_  
Examiner: \_\_\_\_\_  
Docket No. OR209

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(c)**


I, William Elkins of 7081 Galli Drive, San Jose, California 95129-3730, applicant for a patent application:

Entitled: COMPLIANT HEAT EXCHANGE PANEL; with an execution date: 07/30/98; and a Docket No. OR209

hereby petition for the application to be made special and advanced out of turn due to my age.

I hereby state that I am 69 years old; I was born December 20, 1928; and my California drivers license number is M0202794.

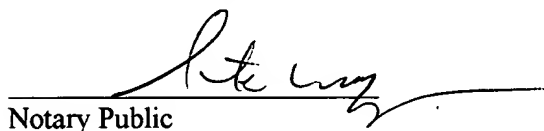
IN TESTIMONY WHEREOF, the applicant has hereunto signed his name this 30 day of\*  
JULY, 1998

  
signature of William Elkins

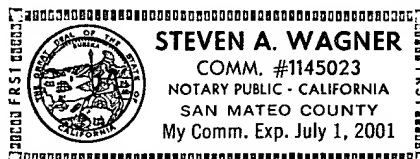
Before me STEVEN A. WAGNER, a Notary Public duly commissioned and sworn, personally appeared

WILLIAM ELKINS,  
\_\_\_\_\_ personally known to me or X proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/hers/their authorized capacity(ies), and that by his/hers/their signature on the instrument the person(s) or the entity upon behalf of which the person(s) acted executed the document.

IN WITNESS WHEREOF I have hereunto set my hand and affixed my official seal in CALIFORNIA County of SAN MATEO on the date set forth in this certificate.

  
Notary Public

My commission expires: 7/1/01



00123456789

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Elkins  
Title: COMPLIANT HEAT EXCHANGE PANEL  
U.S. Serial No. \_\_\_\_\_  
Date Filed: \_\_\_\_\_


Group Art Unit: \_\_\_\_\_  
Examiner: \_\_\_\_\_  
Docket No. OR209

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)

I hereby certify that the above identified patent application was deposited by me with the U.S. Postal Service Express Mail with Receipt No. EI107215746US with postage prepaid and addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 at Menlo Park, California on 07/31/98.

Date: 07/31/98

  
David R. Gildea  
Reg. No. 38,465

